



DefendX Software Control-Audit for EMC® Installation Guide Version 4.1

This guide provides a short introduction to the installation and initial configuration of DefendX Software Control-Audit™ for NAS, EMC® Edition from an administrator's perspective. Upon completion of the steps within this document, DefendX Software Control-Audit for NAS, EMC Edition will be installed within your enterprise community.



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Executive Summary

Thank you for your interest in DefendX Software Control-Audit™ for NAS, EMC® Edition. DefendX Software Control-Audit monitors file and directory operations for users. DefendX Software Control-Audit for NAS, EMC Edition extends our best-of-breed technology to include the EMC family of products, allowing you to manage NAS-hosted storage as a seamless whole.

DefendX Software Control-Audit for NAS, EMC Edition now supports Virtual Data Movers (VDMs) in addition to the Physical Data Movers (PDMs) that were previously supported. VDMs are widely implemented currently due to their easy management; VDMs enable administrators to separate CIFS servers and their associated resources, such as file systems, into virtual containers. These virtual containers allow administrative separation between groups of CIFS servers.

Given the architecture of your EMC Celerra®, DefendX Software Control-Audit for NAS, EMC Edition does its job remotely. DefendX Software Control-Audit for NAS, EMC Edition uses a connector service to create a bridge and include Celerras as full participants in storage environments controlled by DefendX Software Control-Audit. In light of this fact, you will need to install the EMC connector on one of the Windows 2008 machines in your environment. This may be an existing server, a workstation, or a standalone system.

To be monitored by DefendX Software Defendx, version 5.6.36.2 or later of the DART® operating system is required on the Celerra. DefendX Software Control-Audit for NAS, EMC Edition can be used to manage EMC and clusters or any combination of these systems. DefendX Software Control-Audit imposes no restrictions on how you organize or manage your storage. You can monitor file and directory operations on individual paths, directories, and/or shares.

To install DefendX Software Control-Audit on Windows, a login with administrator rights is needed. You will be installing three different services: the DefendX Software Smart Policy Manager™ service, the DefendX Software Control-Audit, and the EMC Connector service. The DefendX Software Smart Policy Manager service should be installed with a domain user account. The DefendX Software Control-Audit service requires a domain user account with local administrative rights on the EMC Celerra. The EMC Connector service uses this account as well.

Your hardware should be appropriate for the services running on each machine. The connector and DefendX Software Control-Audit for NAS, EMC Edition imposes almost no load on either machine.

Preparing the EMC Celerra

Celerra DART Version

DefendX Software Control-Audit for NAS, EMC Edition requires the EMC Celerra to run DART version 5.6.36.2 or later. If your Celerra is not running version 5.6.36.2 or later, you must upgrade your operating system before you proceed. (Refer to your EMC documentation for instructions.)

A separate copy of the DART operating system is installed on the Celerra control station and on each Data Mover. The DART version installed on the Control Station must be version 5.6.36.2 or later.

To determine the version of DART installed on your Control Station, log on to the control station and type the command `nas_version`.

To determine the DART version installed on each Data Mover, log on to the control station and type the command `server_version ALL`.

Configuring cepp.conf

The **cepp.conf** configuration file contains the information needed by the DART operating system to notify the PC running Windows and DefendX Software Control-Audit of file operations performed by clients. These notifications are the primary information used by DefendX Software Control-Audit to monitor file and directory operations.

The **cepp.conf** file must be properly configured on every Data Mover that contains a CIFS server to be monitored by DefendX Software Control-Audit. Identify those Data Movers and follow these steps to edit the **cepp.conf** file for each Data Mover:

1. Log on to the Celerra Control Station. Type the `su` command, enter the user's password, and press **Enter** to become the superuser.
2. Create a new directory (for example, `/mnt2`) at the file system root.
3. Mount the root of the Data Mover's file system to the new directory. For example, type `mount <DataMoverName>:/ /mnt2` and press **Enter**.
4. Type `cd /mnt2/.etc`, press **Enter**, and look for the file **cepp.conf**. Create the file if it does not exist.

5. Use vi to edit the **cepp.conf** file. Edit the servers field to use the IP address of the machine running DefendX Software Control-Audit. The result should look something like this:

```
pool name=cqm servers=<DFX IP Addr> preevents=*  
option=ignore reqtimeout=5000 retrytimeout=1000
```

NOTES:

- a. The DefendX Software Control-Audit machine's IP address is a critical piece of information. If the machine has more than one IP address, you need to be careful. The IP used by DefendX Software Control-Audit to contact the Celerra must appear in the **cepp.conf** file. If the wrong IP address is used, DefendX Software Control-Audit will be denied access to the Celerra and will not function properly.
- b. If Control-Audit is running on a Windows 2008 R2 server machine, you may have to enter the Fully Qualified Domain name of your machine instead of the IP address in Cepp.conf. This resolves an issue when the Celerra is not able to resolve the machine name using its IP address.

Example:

```
pool name=cqm servers=DFXServer.Domain.com preevents=* option=ignore  
reqtimeout=5000 retrytimeout=1000
```

- c. If DefendX Software Control-Audit is installed on an environment that has 'DefendX Software QFS with Proxy Service' installed on a different machine and it manages the same EMC Celerra that you need Control-Audit to manage, you will need to add the IPs of both the QFS and the Control-Audit machines, separated by a vertical bar character "|". The result should look something like this example:

```
pool name=cqm servers=<DFX IP Addr>|<QFS IP Addr> preevents=* option=ignore  
reqtimeout=5000 retrytimeout=1000
```

6. Changes to the **cepp.conf** file will be registered when you restart the cepp service. Type **server_cepp <DataMoverName> -service -stop** and press **Enter**.
7. Type **server_cepp <DataMoverName> -service -start** and press **Enter**.

Repeat steps 2 through 6 for all Data Movers to be managed.

Preparing DefendX Software Control-Audit Windows Machine

Follow these steps to prepare the Windows machine to host DefendX Software Control-Audit for NAS, EMC Edition:

1. Before installing DefendX Software Control-Audit for NAS, EMC Edition, you must make sure that Celerra Event Enabler (CEE) version 4.5.2.3 or later is appropriately installed and configured in your environment. Contact EMC for further information on this configuration.
2. After installing CEE on the DefendX Software Control-Audit machine, you need to specify the software with which the CEE will register. To do this, use the Windows Registry Editor to set **ntp** for the following REG_SZ registry value:

```
HKEY_LOCAL_MACHINE\SOFTWARE\EMC\Celerra          Event  
Enabler\CEPP\CQM\Configuration\EndPoint
```

3. Open the Service Control Manager and open the properties of the EMC CAVA service installed as part of CEE.
4. Stop the EMC CAVA service.
5. Change the service account to an account that can be assigned special permissions to access the CIFS Servers on the Celerra Control Station. The following section describes these special permissions.
6. After changing the account, restart the CAVA service.

NOTE:

If DefendX Software Control-Audit is installed on an environment that has 'DefendX Software QFS with Proxy Service' installed and it manages the same EMC

Celerra that you need Control-Audit to manage, you should configure Control-Audit to use the EMC Proxy service installed on the QFS Server:

1. On the DefendX Software Control-Audit machine, perform the following steps:
 - a. Go to the following key in the registry editor
HKEY_LOCAL_MACHINE\SOFTWARE\DefendXSoftware\Control-Audit\ECS
 - b. Create a string value called **ProxyServer** if it does not exist.
 - c. Set the **ProxyServer** value to the machine IP or name of the DefendX Software Quota and File Sentinel machine.
2. On the DefendX Software Control-Audit machine, make sure that the DefendX Software EMC Proxy Service is disabled:
 - a. Open the Windows Service Manager from **Control Panel\Administrative tools\Services**.
 - b. Look for the DefendX Software EMC Proxy Service entry; right-click this entry and select **Stop**.

Right-click **the** DefendX Software EMC Proxy Service entry and select **Properties**; then in the **General** tab, change **Startup type** to **Disabled**.

Assigning Permissions

For DefendX Software Control-Audit to work properly, the account used by the DefendX Software Control-Audit EMC Connector service and the EMC CAVA service should have the following permissions:

- EMC Virus Checking
- EMC Event Notification Bypass

Use the Celerra CIFS Management Tools MMC snap-in provided by EMC to assign those permissions to the service account to be used by the DefendX Software Control-Audit EMC Connector.

NOTE: The EMC Virus Checking and the EMC Event Notification Bypass permissions should be added to at least one CIFS server defined on the Physical Data Mover to be managed; there is no need to create those permissions for each existing VDM.

Requirements

DefendX Software Control-Audit for NAS, EMC Edition Server Requirements

DefendX Software Control-Audit for NAS is installed on a server in your environment. The hardware must be suitable for our software operation, and our requirements are the minimum necessary. If your server is also hosting antivirus or other programs, your environment's requirements may be greater than those in the following list:

- 1 GHz CPU
- Windows Server 2008 or Later
- 1 GB RAM
- 150 MB free disk space
- Network interface card
- Internet Explorer version 6 or Later or Firefox version 2.x or Later
- IIS version 6 or 7
- Microsoft SQL Server 2005 or Later

NOTE: SP3 is recommended to be used if MS SQL server 2005 is the database server.

- SQL Server Reporting Services 2005 or later
- Microsoft .NET Framework 2.0
- ASP.NET AJAX 1.0
- Microsoft Report Viewer 2005 SP1 (installed along with the Report Pack)

NOTES:

1. The Remote Connection to the SQL Server should be enabled.
2. The SQL Server user should have **db_datareader** and **execute** permissions on the Control-Audit database.
3. The Reporting Service on the database server must grant access to the currently logged Windows user while installing the application.

EMC Celerra Requirements

The EMC Celerra® to which DefendX Software Control-Audit for NAS, EMC Edition will be connected requires the following:

- DART version 5.6.36.2 or later
- Network interface card

NOTE: It is strongly recommended that two network adapters be installed on both the Celerra and Windows Server. The connection between the server and Celerra should be a dedicated connection (i.e., separate from the public network connection). Using a single network adapter will greatly increase the time required to process data and may cause excessive delays in the environment.

Before You Begin

Before running the DefendX Software Control-Audit for NAS, EMC Edition installer, make sure you have the following ready for a smooth installation:

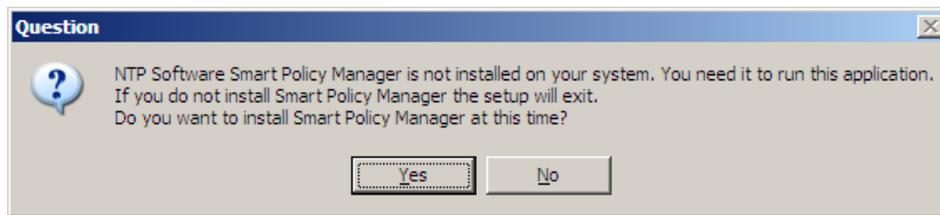
1. The Microsoft SQL Server user name and password for authentication.
2. Access to server/File.
3. The license key you were given when you purchased the Control-Audit product.

Installation

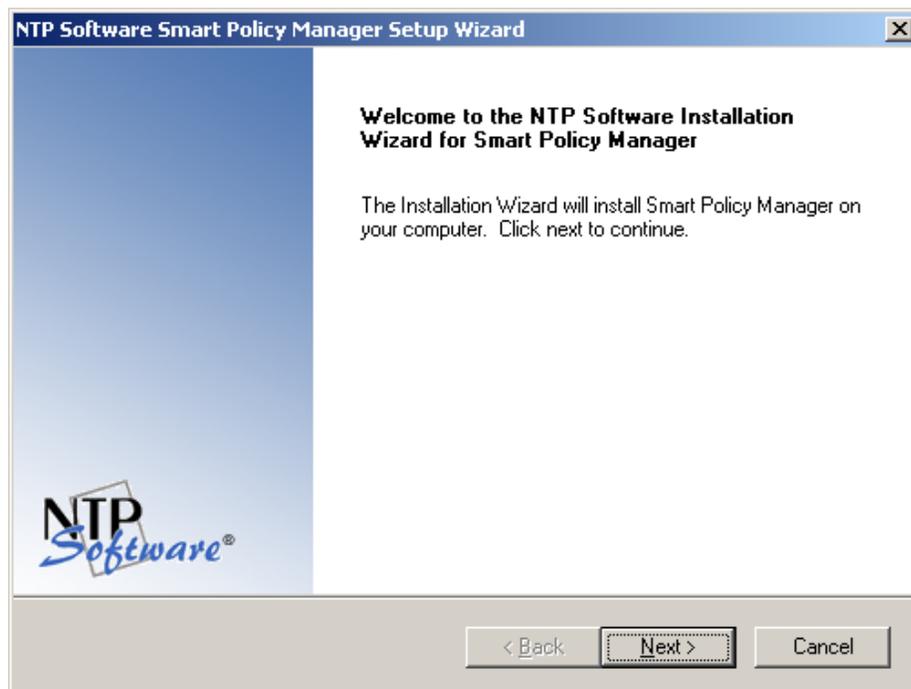
Prior to installing DefendX Software Control-Audit for NAS, EMC Edition, DefendX Software recommends verifying that the installation server meets the requirements listed in the *Requirements* section of this document.

Installing DefendX Software Smart Policy Manager

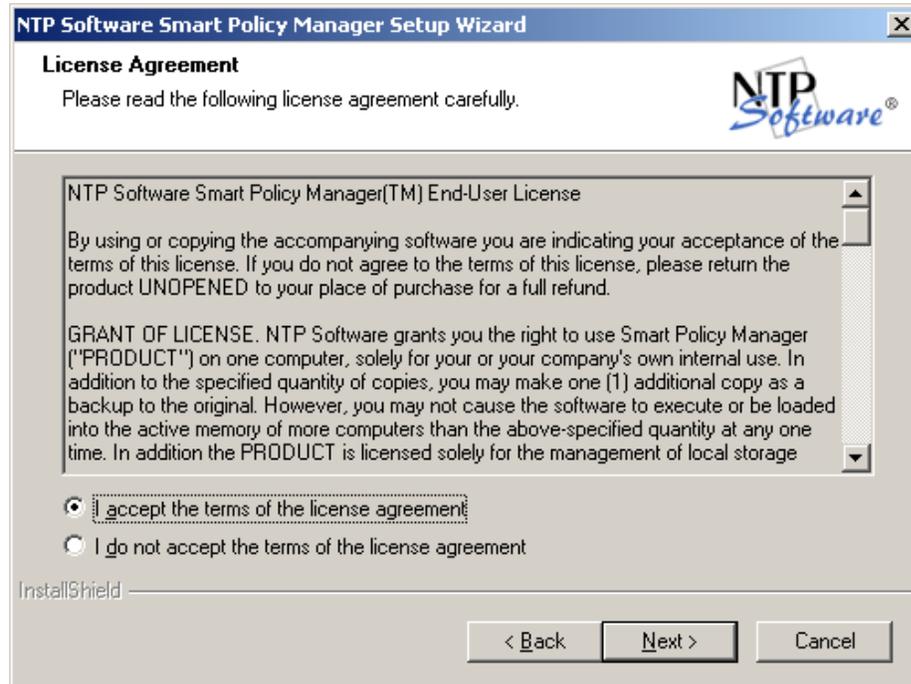
1. Log on to your server using an account with administrator privileges.
2. Run the DefendX Software Control-Audit installer. If DefendX Software Smart Policy Manager is not installed, the following installer will launch automatically. If DefendX Software Smart Policy Manager is installed, you can skip to the section on *Installing DefendX Software Control-Audit for NAS, EMC Edition*.



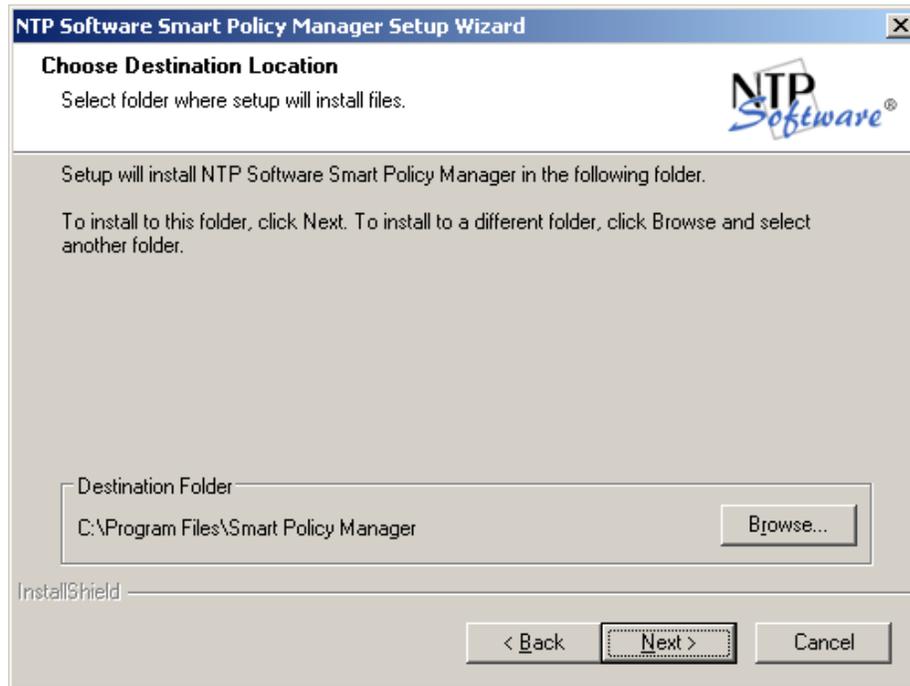
3. The DefendX Software Smart Policy Manager Installation Wizard opens. Click **Next** to begin the installation.



4. In the **License Agreement** dialog box, read the end-user license agreement. If you agree to the terms, click **I accept the terms of the license agreement** and then click **Next**. If you do not accept the terms, click **Cancel** to exit the installation.



5. In the **Choose Destination Location** dialog box, click **Browse** to choose the location where you want to install DefendX Software Smart Policy Manager and then click **Next**.



6. In the **Select Features** dialog box, select the components you want to install and then click **Next**.

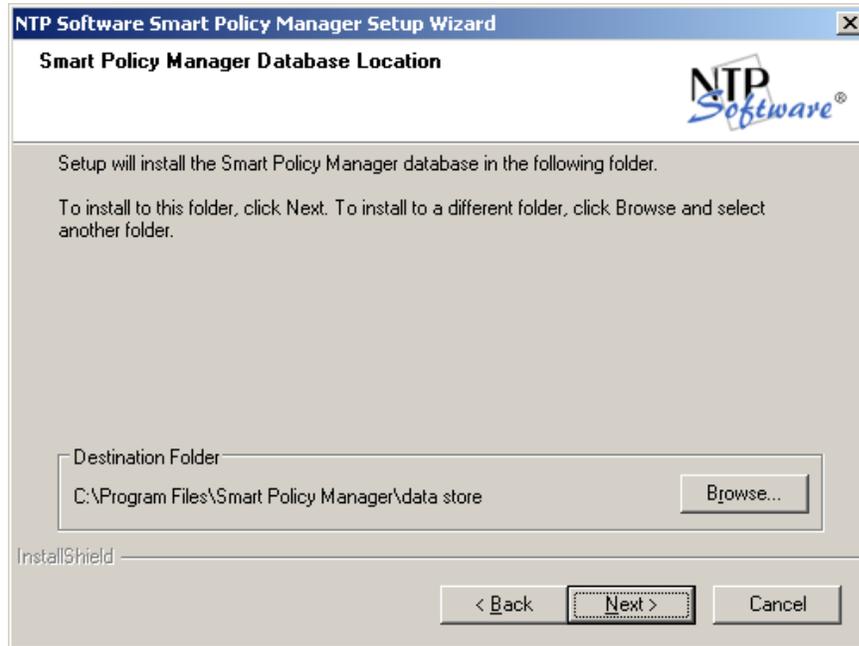


7. In the **Service Account** dialog box, when prompted for a Windows domain user account to run the DefendX Software Smart Policy Manager service, enter the username and password for a domain user account with administrative rights on the local machine. Click **Next**.

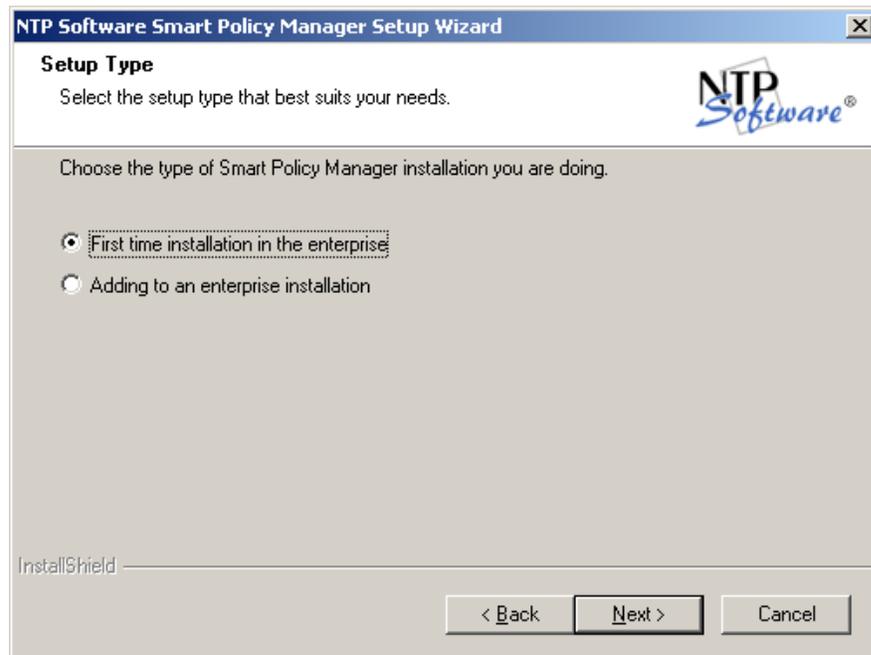


The screenshot shows a Windows dialog box titled "NTP Software Smart Policy Manager Setup Wizard". The dialog has a blue header bar with the title and a close button. Below the header, the text "Service Account:" is displayed. In the top right corner, there is a logo for "NTP Software" with "NTP" in blue and "Software" in a script font. Below the logo, the instruction "Enter the service account the Smart Policy Manager service is to run under." is shown. There are three input fields: "Service" containing "Administrator", "Password" with masked characters, and "Confirm" also with masked characters. At the bottom left, the text "InstallShield" is visible. At the bottom right, there are three buttons: "< Back", "Next >", and "Cancel".

8. In the **Smart Policy Manager Database Location** dialog box, enter the directory name where you want to install the DefendX Software Smart Policy Manager database or just accept the default location. Click **Next**.



9. In the **Setup Type** dialog box, select the DefendX Software Smart Policy Manager installation type for your environment. If installing to a new environment with no prior DefendX Software Smart Policy Manager installations, click **Next**. If installing in an environment in which DefendX Software Smart Policy Manager is already running, choose **Adding to an enterprise installation** and click **Next**.



10. In the **Smart Policy Manager Initial Setup Parameters** dialog box, provide DefendX Software Smart Policy Manager with a name for your organization and a location name for this DefendX Software Smart Policy Manager instance, or accept the default settings. Click **Next**.

NTP Software Smart Policy Manager Setup Wizard

Smart Policy Manager Initial Setup Parameters

Enter the initial organization and location names.

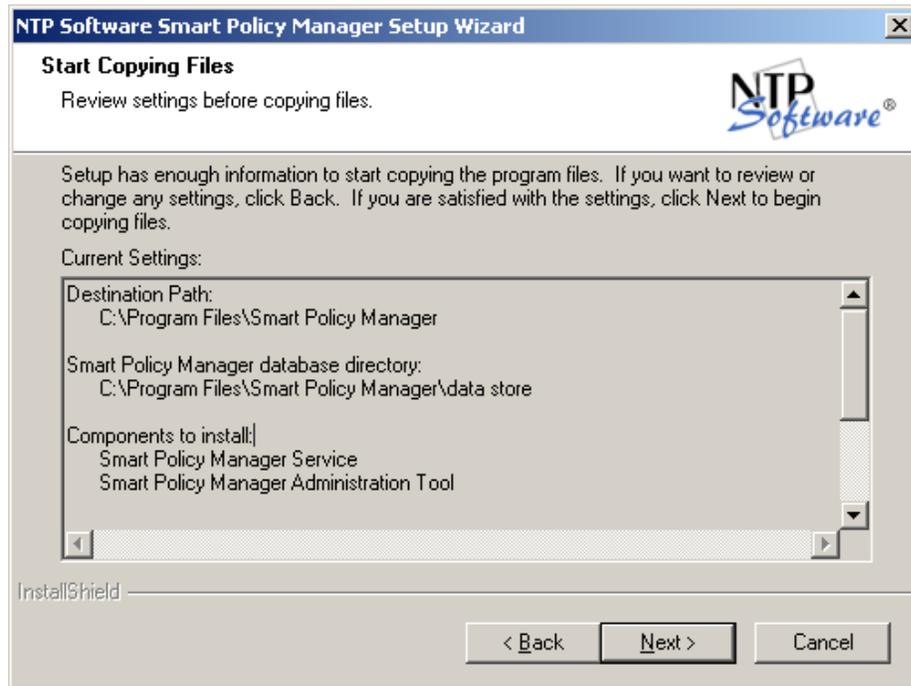
Organization: My Organization

Location: My Site

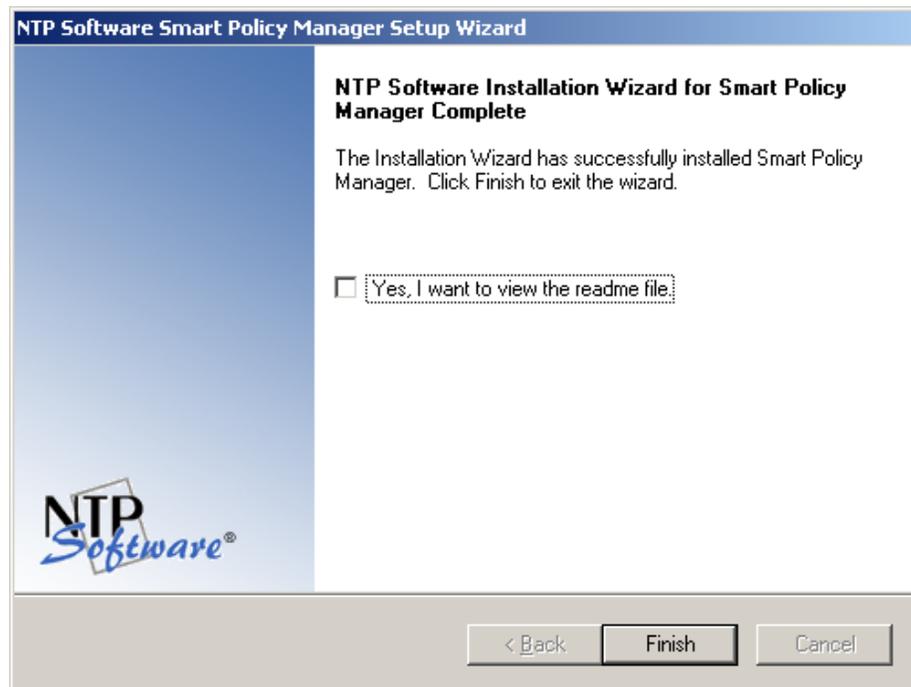
InstallShield

< Back Next > Cancel

11. In the **Start Copying Files** dialog box; review your configuration information. Click **Back** to make any changes; otherwise, click **Next** to begin copying the files.

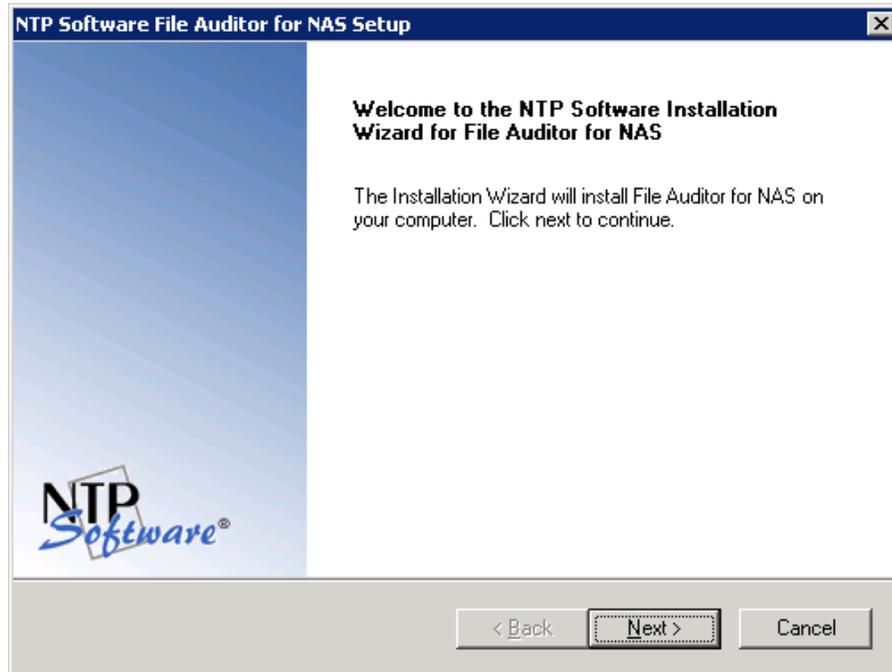


12. If you do not want to view the DefendX Software Smart Policy Manager readme file, clear the **Yes, I want to view the readme file** checkbox. When you click **Finish**, the DefendX Software Control-Audit for NAS Installer opens.

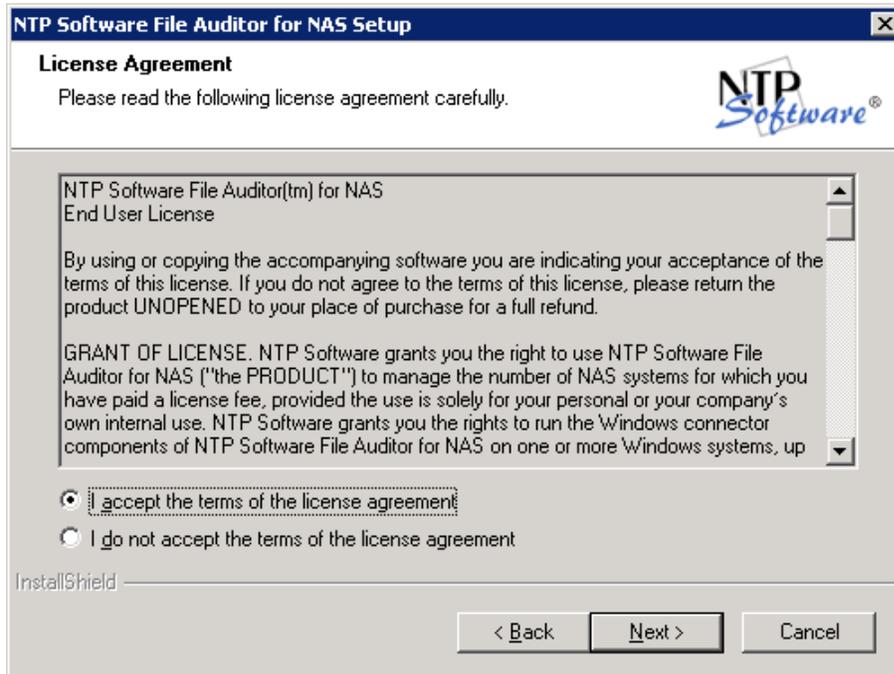


Installing DefendX Software Control-Audit for NAS, EMC Edition

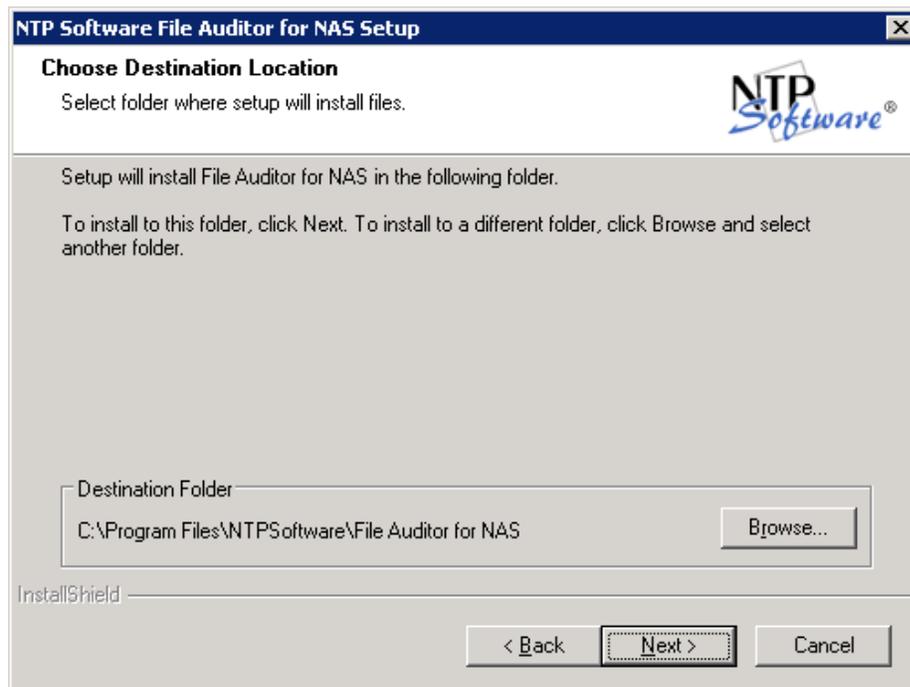
1. When the DefendX Software Control-Audit for NAS Installation Wizard opens, click **Next** to begin the installation.



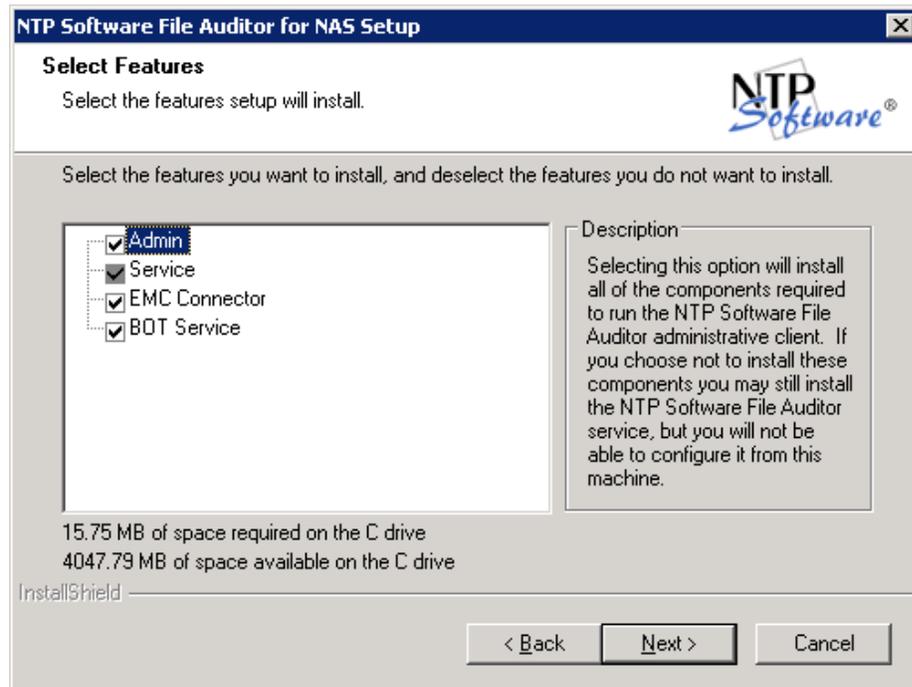
2. In the **License Agreement** dialog box, read the end-user license agreement. If you agree to the terms, click **I accept the terms of the license agreement** and then click **Next**. If you do not accept the terms, click **Cancel** to exit the installation.



3. In the **Choose Destination Location** dialog box, choose the location where you want to install DefendX Software Control-Audit and then click **Next**.



4. In the **Select Features** dialog box, select the components to be installed on the local machine. The **Admin** component allows administration of the DefendX Software Control-Audit service. The **EMC Connector** component is required if this machine will need to communicate with a Celerra for file and directory operations monitoring purposes. The BOT Service component is required if you wish to have the Business Overwatch Tasks Service and its configuration interface.



5. In the **DefendX Software Control-Audit** dialog box, provide your SQL Server name and your database name.

NTP Software File Auditor for NAS Setup

NTP Software File Auditor

NTP Software®

Please specify the SQL server name and database name.

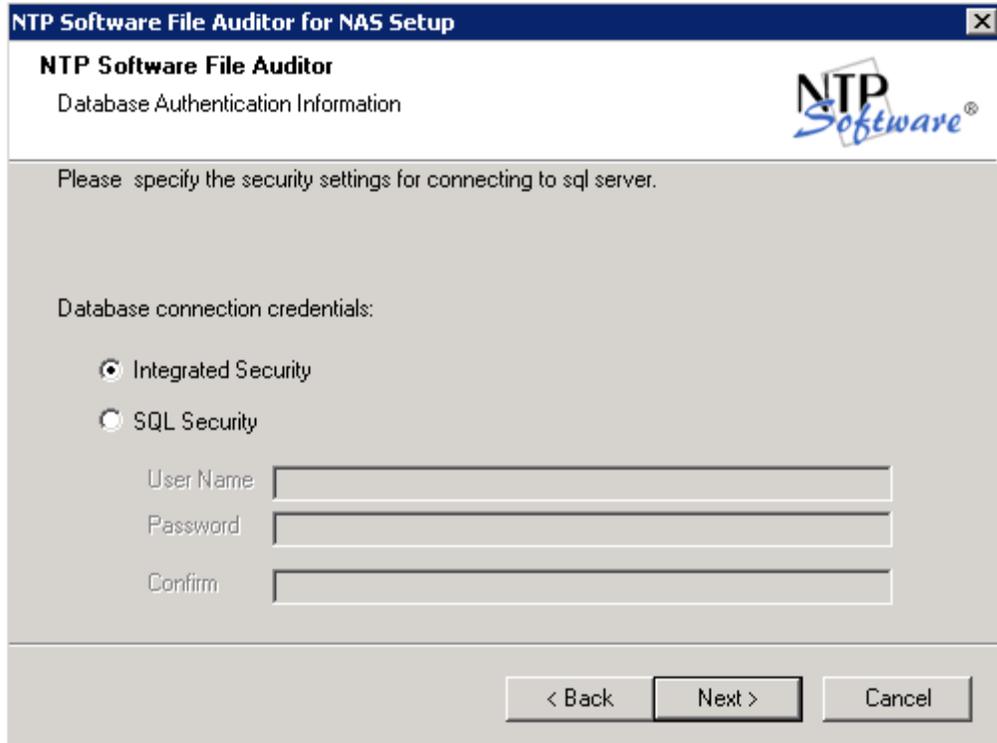
Server: MyServer

Database: MyDatabase

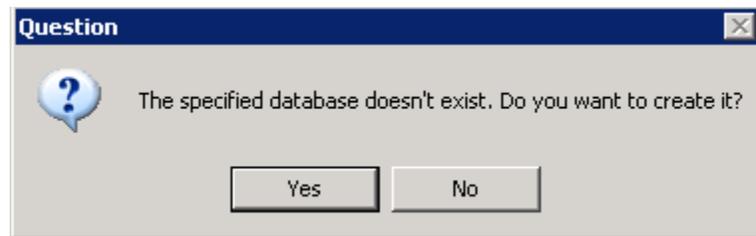
InstallShield

< Back Next > Cancel

6. In the **DefendX Software Control-Audit** dialog box, specify the security setting to be used to connect to the SQL Server for database and tables creation.



If the database doesnot exist, the below question dialog will be displayed. Click the **Yes** button. If you wish to create the database yourself, run the SQL Scripts in the Control-Audit for NAS installation folder after the setup is complete. The script file is "Control-Audit DB Schema and User Script.sql".



NOTE: If you are upgrading from Control-Audit 2.2 or older versions, the installer will prompt for upgrading the database. Alternatively, you can run the upgrade script manually; the script file "Control-Audit DB Upgrade Script.sql" is located in the Control-Audit installation directory after the setup is complete.

7. In the **User Information** dialog box, provide your company name. Select the **Install Evaluation Version** option if you wish to try the evaluation version of the software. Otherwise, please insert your DefendX Software Control-Audit and EMC Connector serial numbers. Click **Next**.

User Information
Enter your registration information.

Please enter the name of the company for whom you work and select whether you want to install an evaluation version or the production version.

Company Name:

Install Evaluation Version

Install Production Version

File Auditor Serial Number:

EMC Connector Serial Number:

InstallShield

< Back Next > Cancel

8. In the **Account Type** dialog box, specify the account type to be used. Click **Next**.

Account Type
Please specify the type of account to use.

The File Auditor service can run as a specified account or the built-in system account.

Specify an account to use.

Use the built-in system account. The following features are disabled:

- The ability to specify UNC paths in policies.
- Active Directory/LDAP email address lookups.
- User account lookups across multiple domains.

InstallShield

< Back Next > Cancel

9. In the **Service Account** dialog box, when specifying an account, enter a username with local administrative privileges. This account will be used to log in and monitor file and directory operations. Click **Next**.

NTP Software File Auditor for NAS Setup

Service Account

Enter the service account the File Auditor Connector service is to run under. This service account must have SELECT, INSERT, DELETE, and CREATE TABLE permissions on the File Auditor SQL Database.

Service:

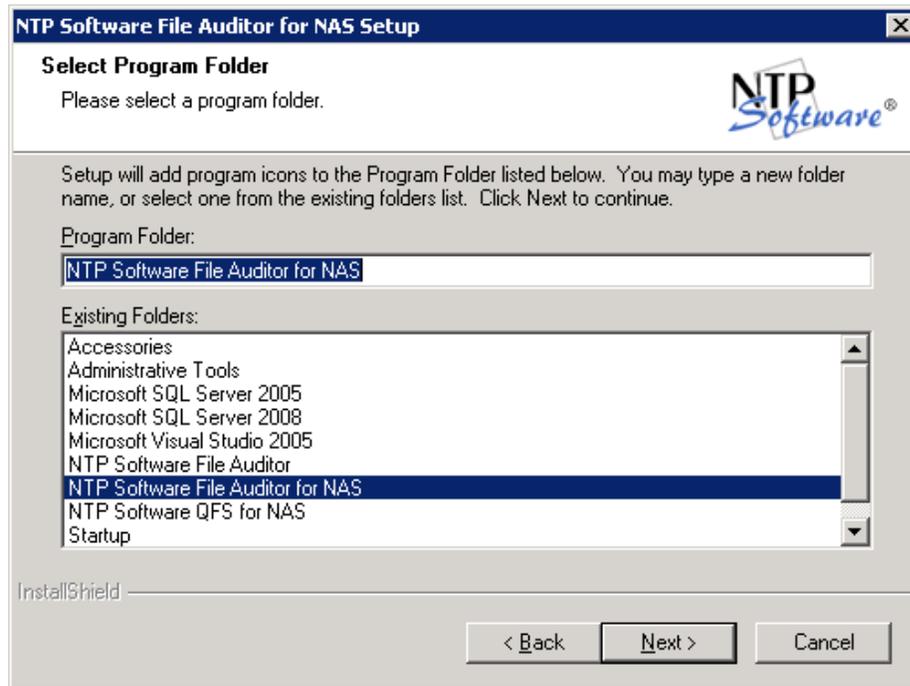
Password:

Confirm:

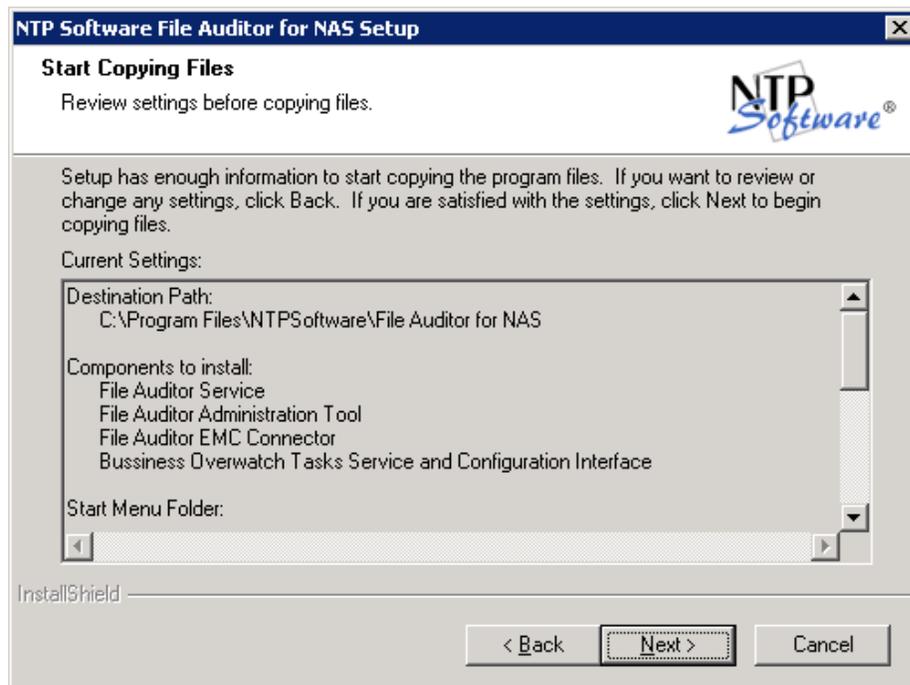
InstallShield

< Back Next > Cancel

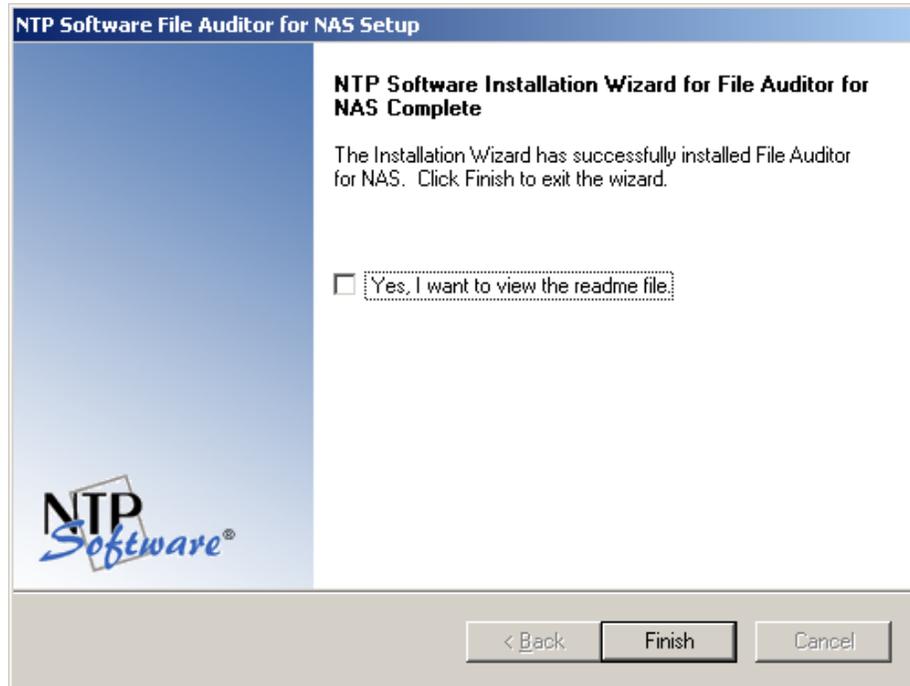
10. In the **Select Program Folder** dialog box, select the program folder to host the DefendX Software Control-Audit for NAS startup group. Click **Next**.



11. In the **Start Copying Files** dialog box, review your components and EMC Connector information. Click **Back** to make any changes; otherwise, click **Next** to begin copying the files.



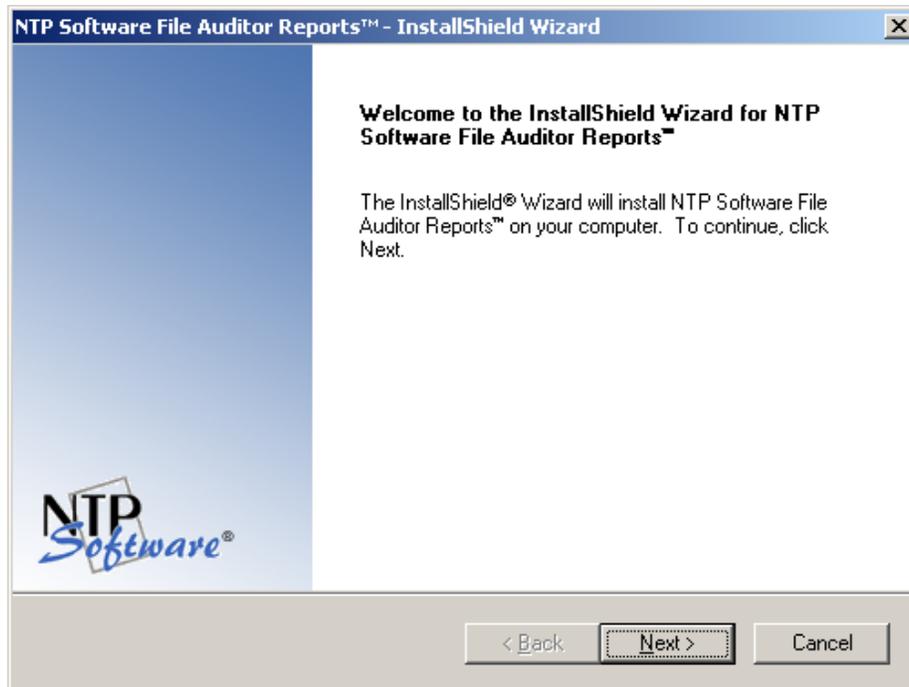
12. If you do not want to view the DefendX Software Control-Audit for NAS readme file, clear the **Yes, I want to view the readme file** checkbox. When you click **Finish**, the DefendX Software Control-Audit for NAS, EMC Edition Configuration Wizard will open.



13. Once you click finish, the EMC Configuration Wizard will be displayed.

Installing DefendX Software Control-Audit Reports, EMC Edition

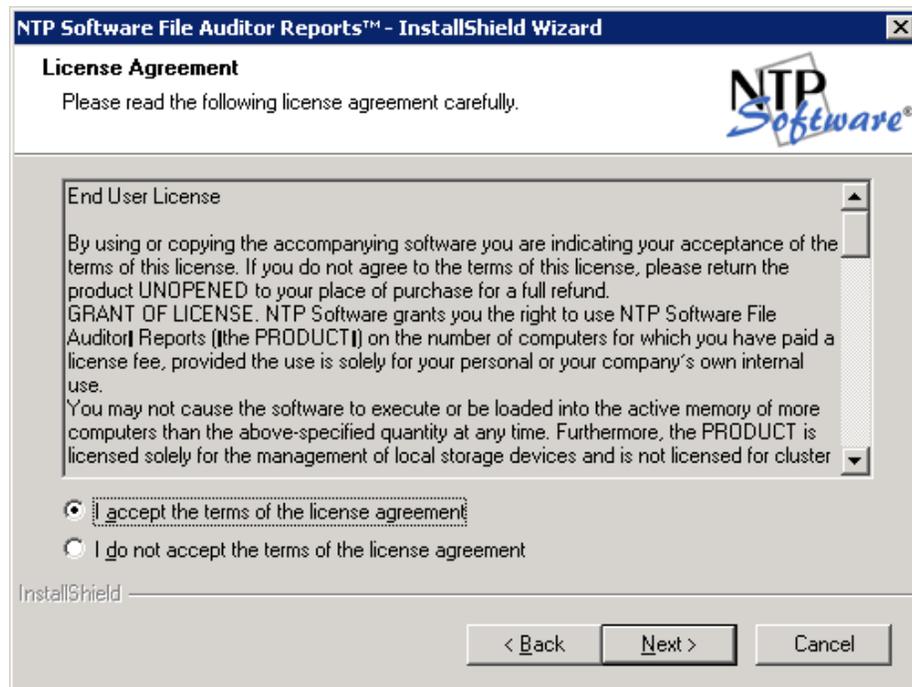
1. When the DefendX Software Control-Audit Reports™ Wizard opens, click **Next** to begin the installation.



NOTE: The user installing the Control-Audit Reports must be assigned the Content Management role. To assign a user the Content Management role, perform the following steps:

- a. Open SQL Server Reporting Services URL on the host machine – example: [http://[SQLReportingHostMachine]/Reports].
- b. Navigate to the **Properties** tab.
- c. Navigate to the **Security** tab.
- d. Create a new Role by clicking **New Role Assignment** or edit an already existing Group or User.

2. In the **License Agreement** dialog box, read the end-user license agreement. If you agree to the terms, click **I accept the terms of the license agreement** and then click **Next**. If you do not accept the terms, click **Cancel** to exit the installation.



3. In the **Customer Information** dialog box, provide your user name and the company name. Click **Next**.

NTP Software File Auditor Reports™ - InstallShield Wizard

Customer Information
Please enter your information.

Please enter your name and the name of the company for which you work.

User Name:
username

Company Name:
Company Name

< Back Next > Cancel

4. In the **Choose Destination Location** dialog box, choose the location where you want to install DefendX Software Control-Audit Reports and then click **Next**.

NTP Software File Auditor Reports™ - InstallShield Wizard

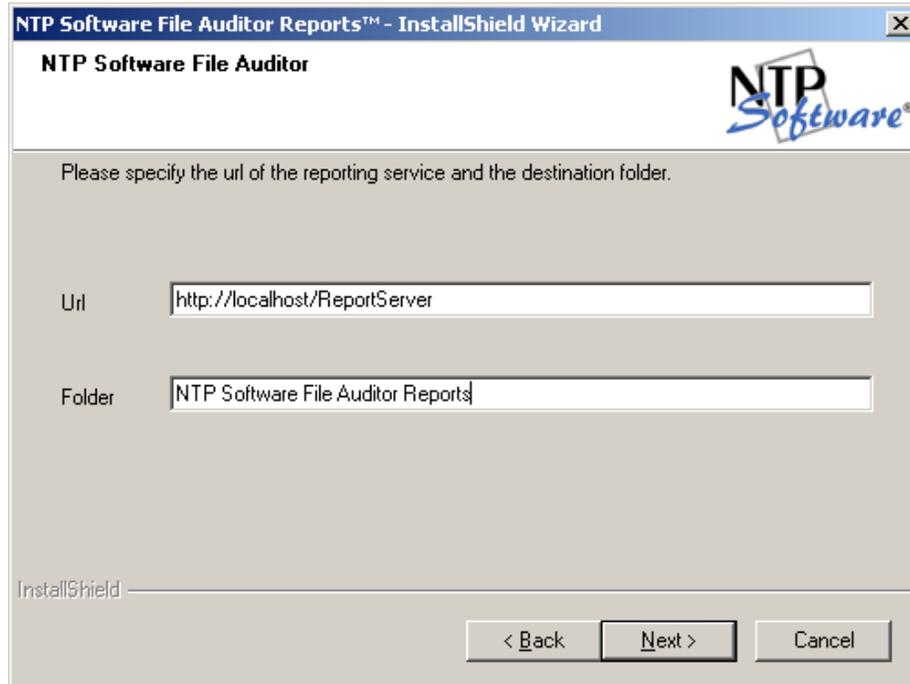
Choose Destination Location
Select folder where setup will install files.

Setup will install NTP Software File Auditor Reports™ in the following folder.
To install to this folder, click Next. To install to a different folder, click Browse and select another folder.

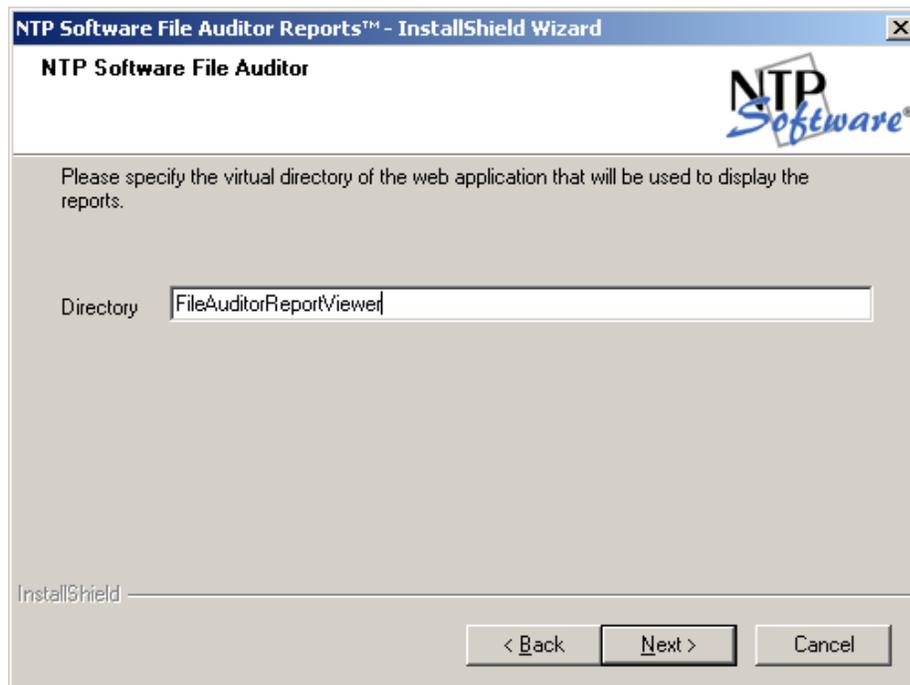
Destination Folder
C:\...NTP Software File Auditor Reports™ Browse...

< Back Next > Cancel

5. In the **DefendX Software Control-Audit** dialog box, specify the URL of the reporting service and the destination folder. Click **Next**.



6. In the **DefendX Software Control-Audit** dialog box, specify the web application virtual directory. Click **Next**.



7. In the **DefendX Software Control-Audit** dialog box, specify the SQL Server name and the database name hosted on the SQL Server. Click **Next**.

NTP Software File Auditor Reports™ - InstallShield Wizard

NTP Software File Auditor

Please specify the SQL database server name and database name.

Server: (local)

Database: FileAuditor

InstallShield

< Back Next > Cancel

8. In the **DefendX Software Control-Audit** dialog box, specify the security setting to connect to the SQL Server database. Click **Next**.

NTP Software File Auditor Reports™ - InstallShield Wizard

NTP Software File Auditor

Please specify the security settings for connecting to sql server.

Integrated Security

SQL Security

User Name

Password

Confirm

< Back Next > Cancel

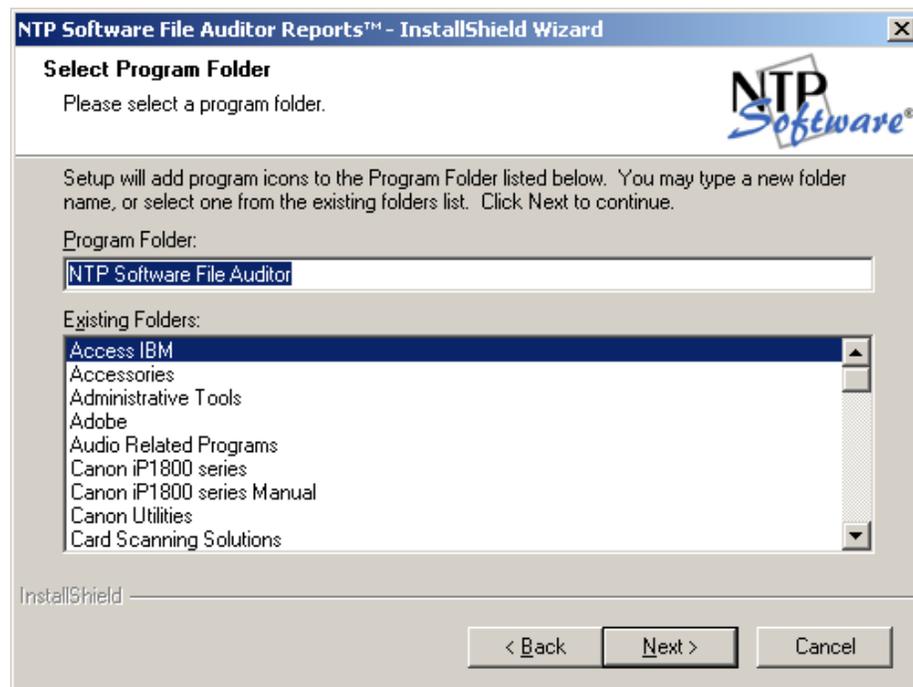
NOTES:

- Control-Audit has a default user "DFXReportingUser" and default password "DFXReportingUser" that you can use or change.
- If the SQL Security setting was selected, the user should have at least the db_datareader, db_datawriter, and execute permissions.
- For Historical Data feature to function properly under the **SQL Security** setting, "DFXReportingUser" user should have ADMINISTER BULK OPERATIONS permission to the database, along with ALTER and INSERT permissions to the HistoricalOperations and HistoricalDAcls tables. Control-Audit Installer attempts to set these permissions during installation.
- If the **Integrated Security** setting was selected, the Control-Audit Reports data source will use the logged-in Windows user account to access the Database. The Windows user account must be given read access to the Control-Audit Database, or that user account must be added to a group that has read access to the Control-Audit Database.
- For Historical Data feature to function properly under the **Integrated Security** setting, The windows user account who will recall the historical data should have ADMINISTER BULK OPERATIONS permission to the database. Along with ALTER and INSERT permissions to the HistoricalOperations and HistoricalDAcls tables.
- To change the Control-Audit Reports data source, you need to do the following:
 1. Open SQL Server Reporting Services Manager URL on the host machine [[http://\[SQLReportingHostMachine\]/Reports](http://[SQLReportingHostMachine]/Reports)].
 2. Open the **DefendX Software Control-Audit Reports** folder or the reports folder specified in the installation.
 3. Open **DFXPOps**.
 4. Specify the needed reports data source.
- The network users who should run to the reports must assigned to the browser role on reporting Service reports. To give a user or group access to the reports, you need to do the following:
 1. Open SQL Server Reporting Services Manager URL on the host machine [[http://\[SQLReportingHostMachine\]/Reports](http://[SQLReportingHostMachine]/Reports)].
 2. Open the **DefendX Software Control-Audit Reports** folder or the reports folder specified in the installation.

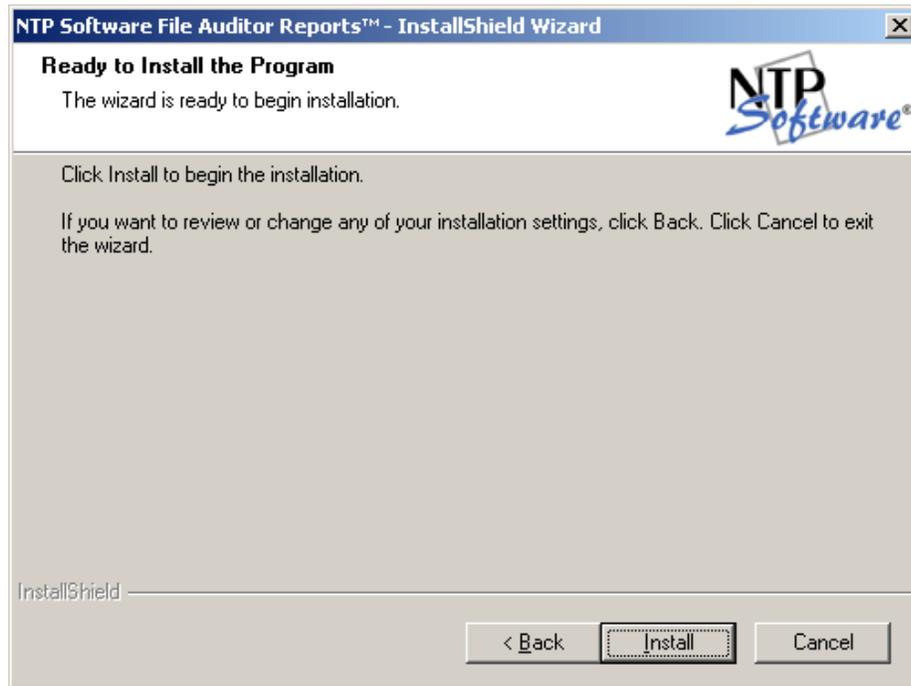
3. Open the **Properties** top tab, then select the **Security** left tab.
4. Click on **New Role Assignment**".
5. Write the user or group name, select the **Browser** role, then click **OK**.

9. If the **Please upgrade to SP3** dialog box was displayed, click **OK**.

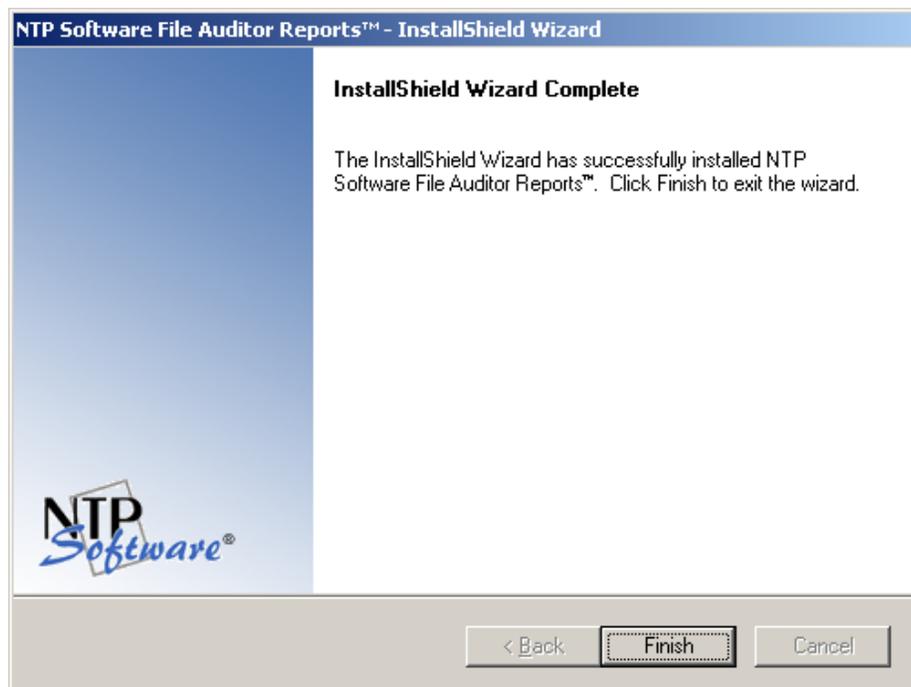
10. In the **Select Program Folder** dialog box, select the program folder to host the DefendX Software Control-Audit for NAS startup group. Click **Next**.



11. In the **Ready to Install the Program** dialog box, click **Back** to make any changes; otherwise, click **Install** to begin copying the files.



12. You have successfully installed the DefendX Software Control-Audit Reports. Click **Finish**.



Configuring Control-Audit Reports Website Security

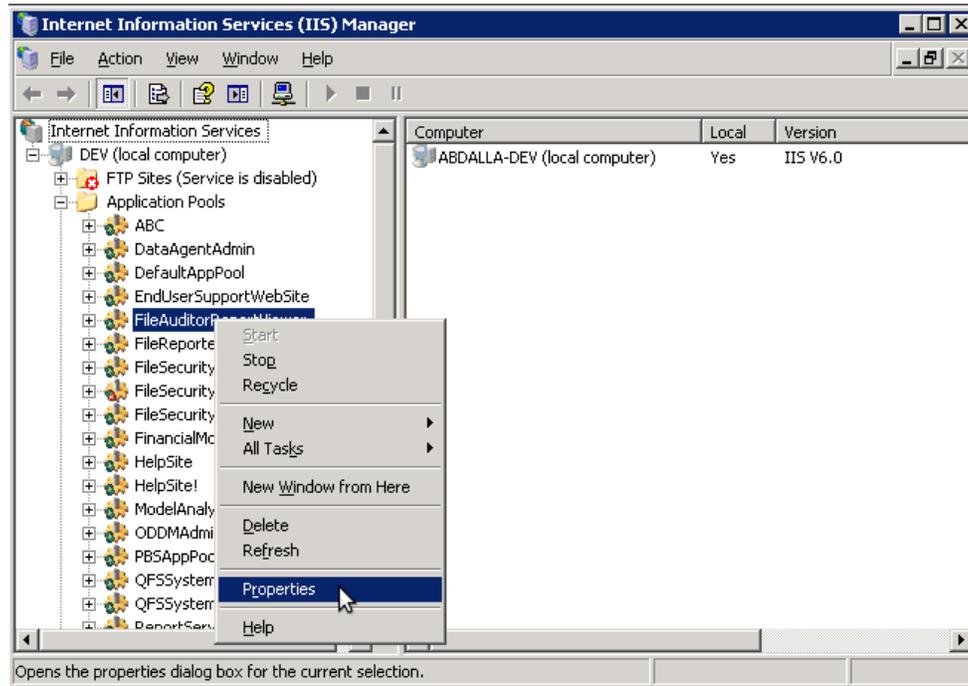
For the Historical Data feature to function properly under the Integrated Security setting, the Windows user account who will recall the historical data should have ADMINISTER BULK OPERATIONS permission to the database, along with ALTER and INSERT permissions to the HistoricalOperations and HistoricalDAcls tables.

This section will help you configure Control-Audit Reports website to user Integrated security authentication to operate with DefendX Software ODDM and Microsoft SQL Server.

1. Create a domain user account to be assigned to Control-Audit Reports website.
2. In SQL Server, create a login for that user and grant the user the following privileges to Control-Audit database:
 - a. db_datareader
 - b. db_datawriter
 - c. EXECUTE.
3. If you will use Control-Audit ODDM Archiving feature, you must grant the user the following privileges as well:
 - d. ADMINISTER BULK OPERATIONS permission to Control-Audit database.
 - e. ALTER and INSERT permissions to the HistoricalOperations and HistoricalDAcls tables.
 - f. Read and Change permissions to a share on an DefendX Software ODDM Primary server.
4. Configure the Control-Audit Reports Viewer website application pool to use the user account you created. The following section will describe how to assign a user account to an application pool in IIS6 or IIS7.

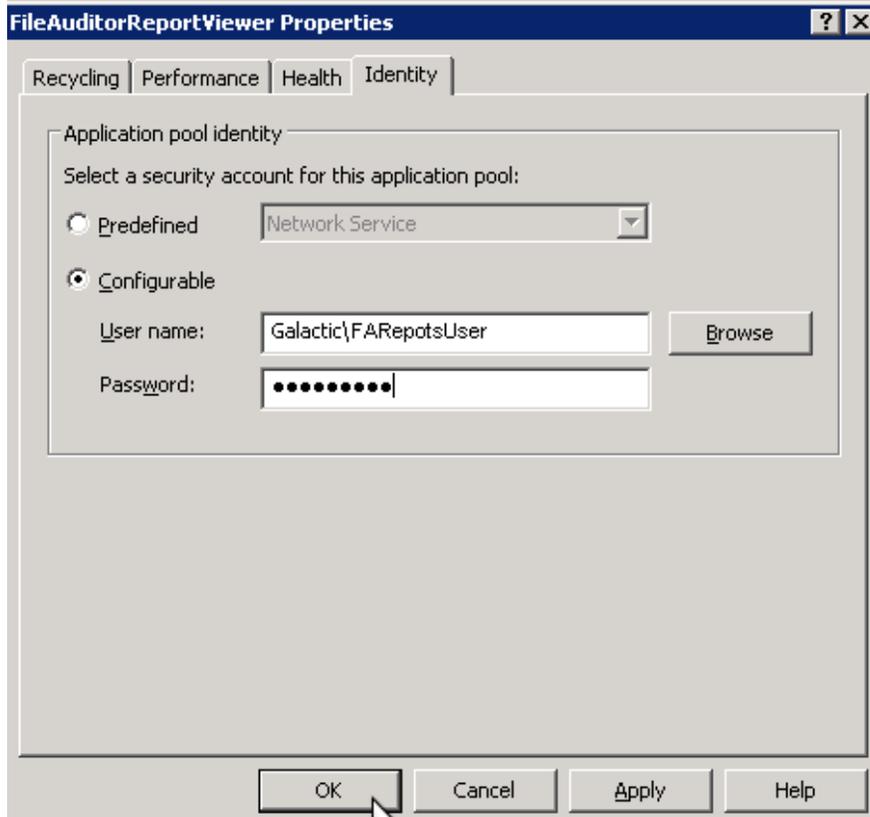
To assign a User Account to an Application Pool in IIS 6, please follow the following:

1. Open Internet Information Services (IIS) Manager console from **Administrative Tools**.
2. Right-click on the DFXReportViewer application pool and click **Properties**.

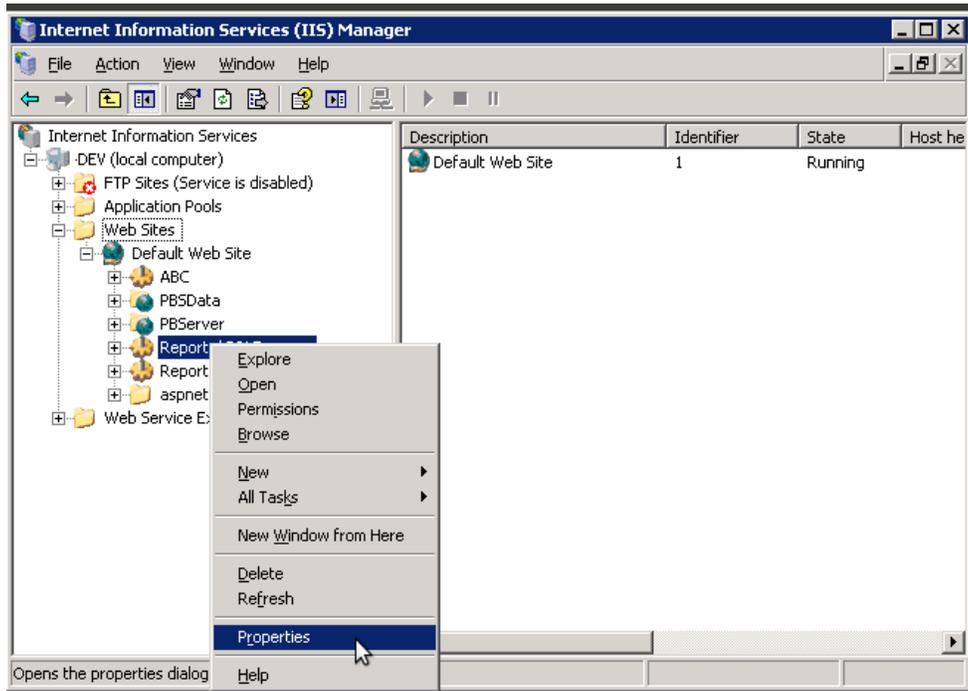


3. In the **Identity** tab, select **Configurable**.

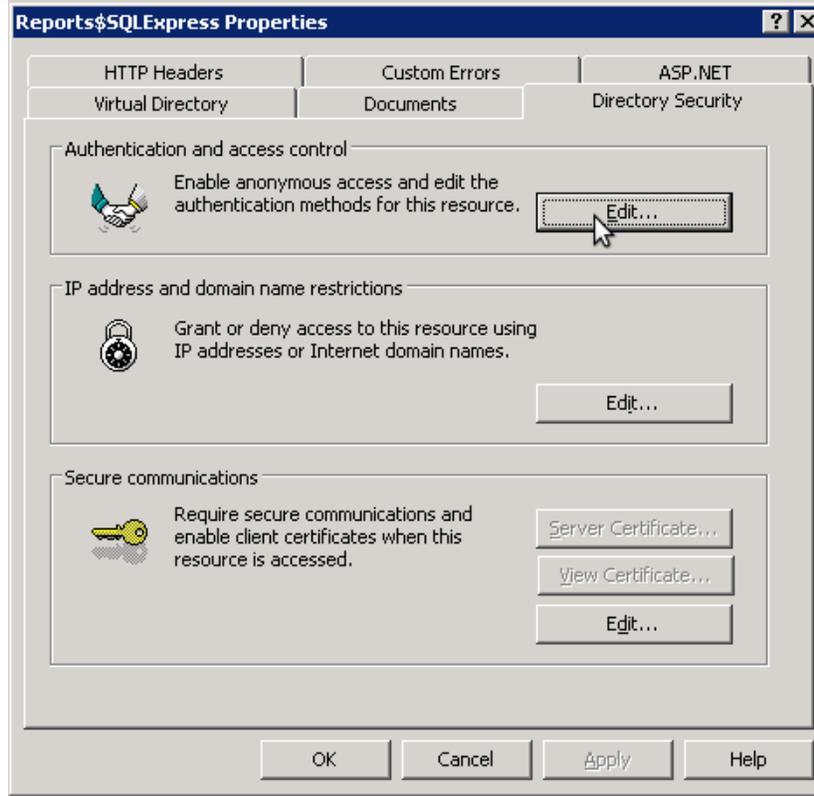
4. Enter the account details, then click **OK**.



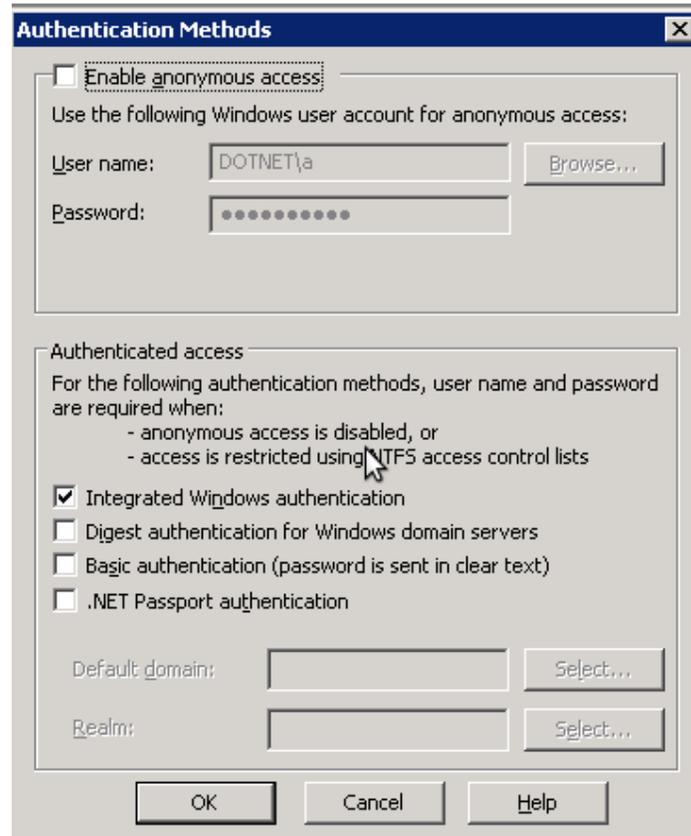
5. Open the DFXReportViewer website properties.



6. In the **Directory Security** tab, under **Authentication and access control**, click **Edit**.

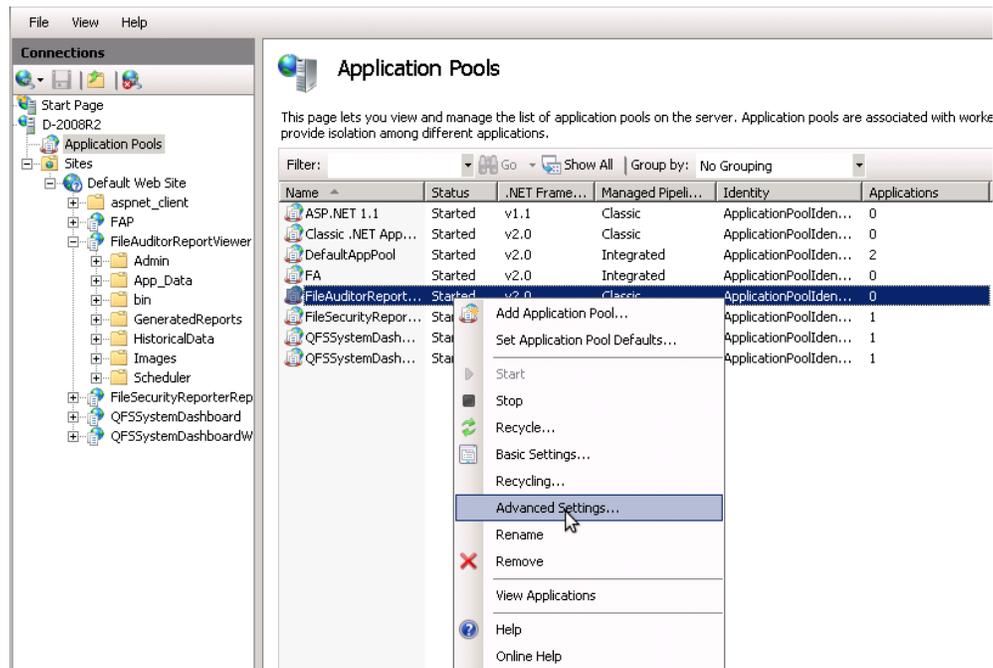


7. Disable all authentication methods except **Integrated Windows Authentication**.

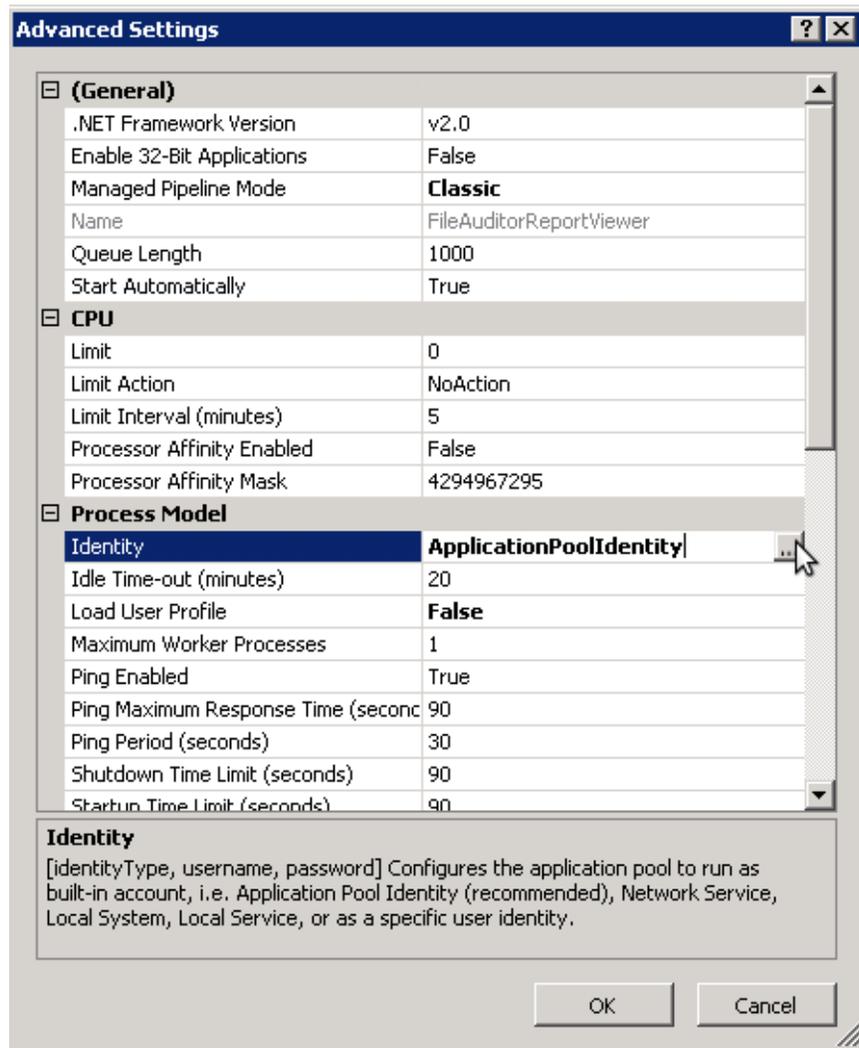


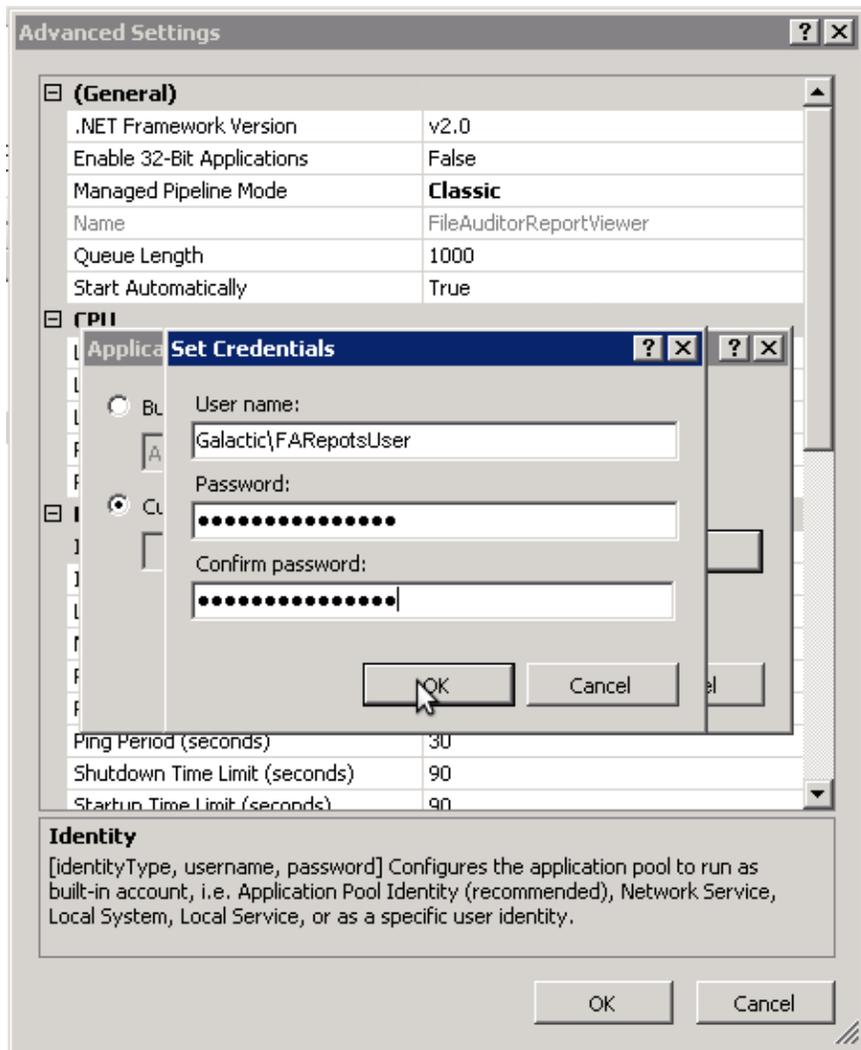
To assign a User Account to an Application Pool in IIS 7, please perform the following steps:

1. Open IIS from the control panel and choose the DFXReportViewer application pool.
2. Right-click on it and click **Advanced Settings**.

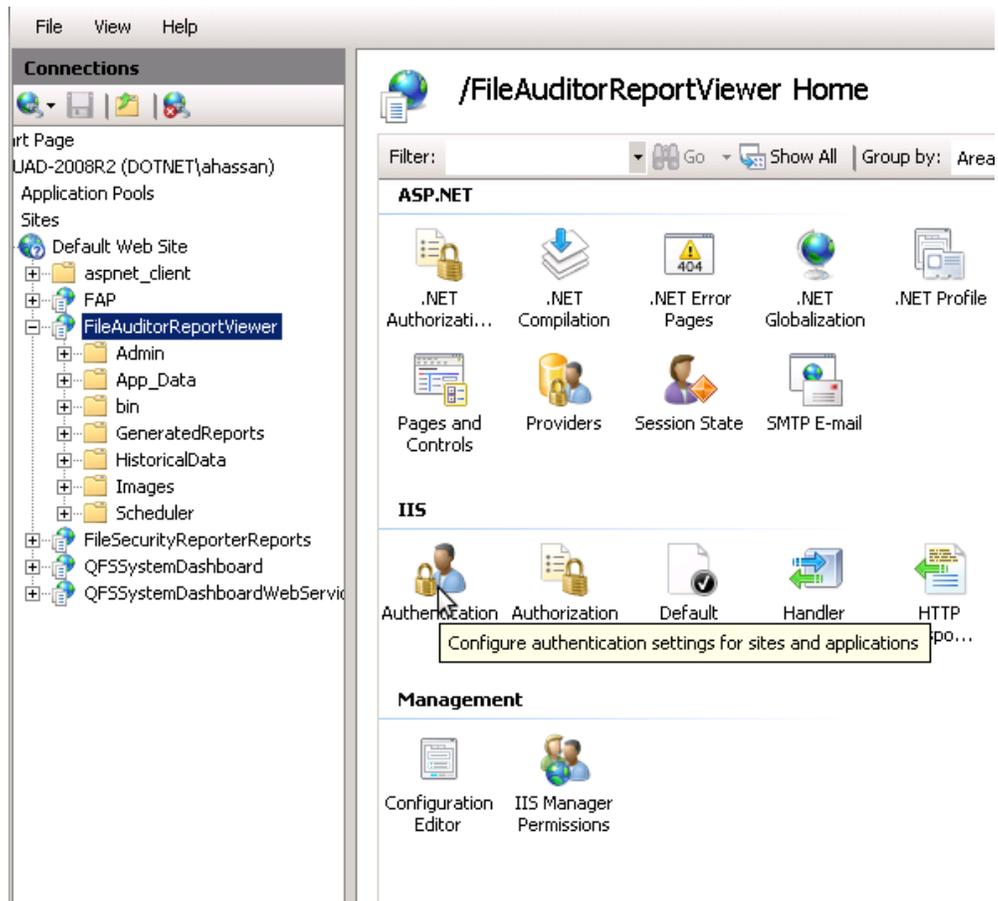


3. Change the default app pool created to the user you need.

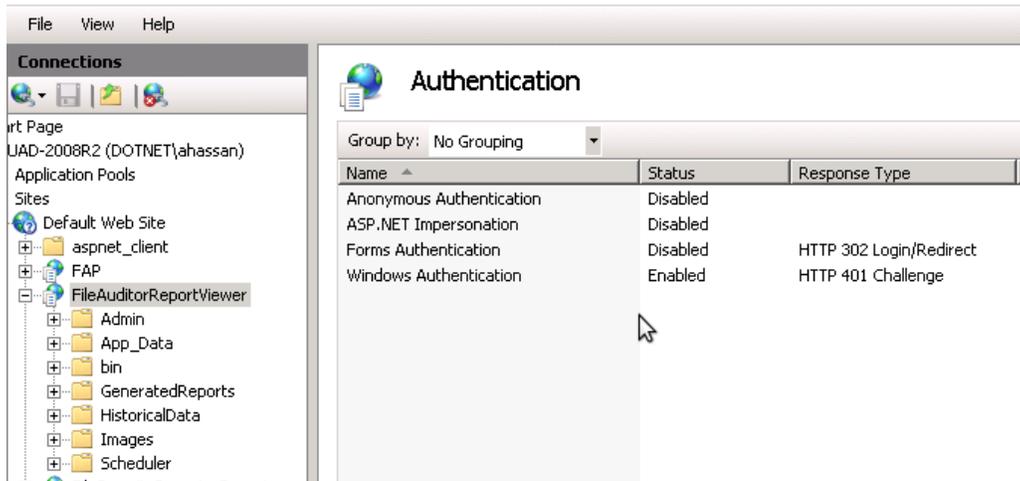




4. In IIS, choose the DFXReportViewer website and open the **Authentication** view.

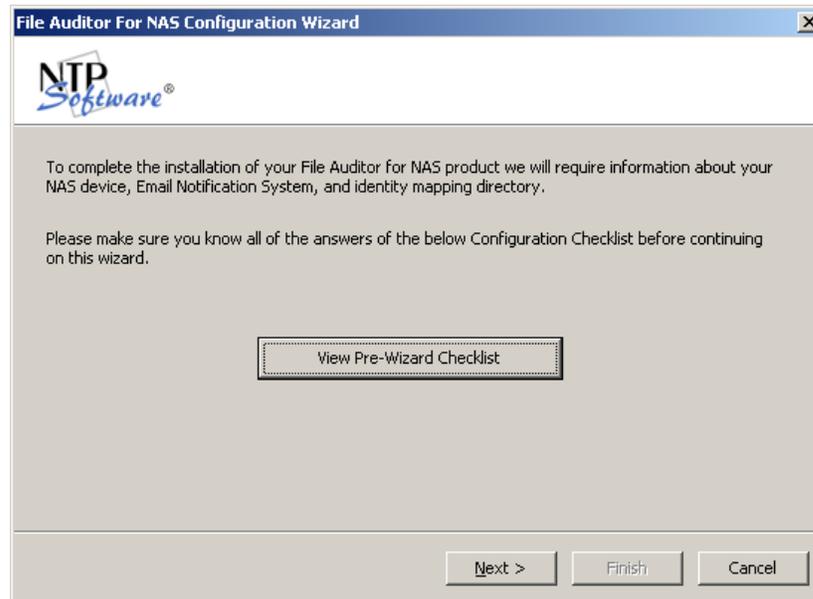


5. Disable all authentication methods except **Windows Authentication**.

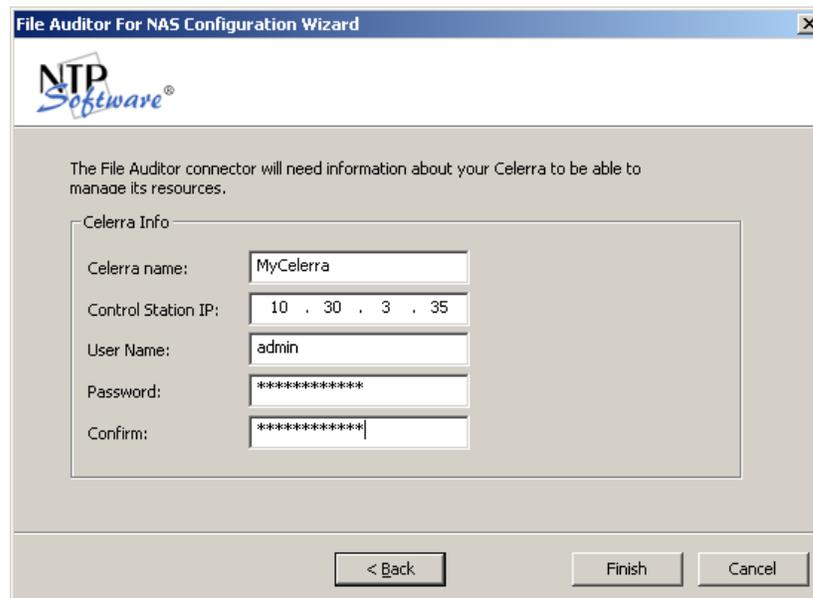


Using the DefendX Software Control-Audit for NAS, EMC Edition Configuration Wizard

1. Click the **View Pre-Wizard Checklist** button and gather the required information before continuing. Click **Next**.



2. Enter the name of your Celerra, the IP address of the station controlling the Celerra, and the username and password on the Control Station. Click **Next**.



3. Check the **Yes! We do want email notifications enabled** option if you wish to notify your administrators about audited users' activities. Specify the email system that your environment uses and click **Next**.

Mail Notification Setup (Optional) X



If you plan on notifying your administrators via email with audited users activity we will need information about your email system.

Yes! We do want email notifications enabled

Email System Information

Exchange 2000 or greater

Other (Lotus Notes, Send Mail, etc)

4. Enter the name of your primary active directory server; enter a secondary active directory server if you wish. Click the **Test Active Directory Lookup** and test one email address to verify connectivity.

Exchange 2000 or Greater Setup (Optional)

NTP Software

When a user modifies files that are monitored by a file audit policy, we will need to find that user email address. We can use your existing Active Directory to get the needed information. Please provide the information below:

Active Directory Settings

Primary Active Directory Server: Port:

Secondary Active Directory Server: Port:

< Back Next > Finish Cancel

5. Specify your email gateway information. Click the **Test Mail Settings** to verify the correctness of the information provided. Click **Finish**.

Mail Server Info (Optional)

NTP Software

File Auditor will send email utilizing your own mail server. Please supply the below needed information about your email gateway.

Email System Information

SMTP Gateway Address:

SMTP Domain (Example: ntpsoftware.com):

Reply Email Address for Notifications:

My server requires authentication

Username:

User Domain:

Password:

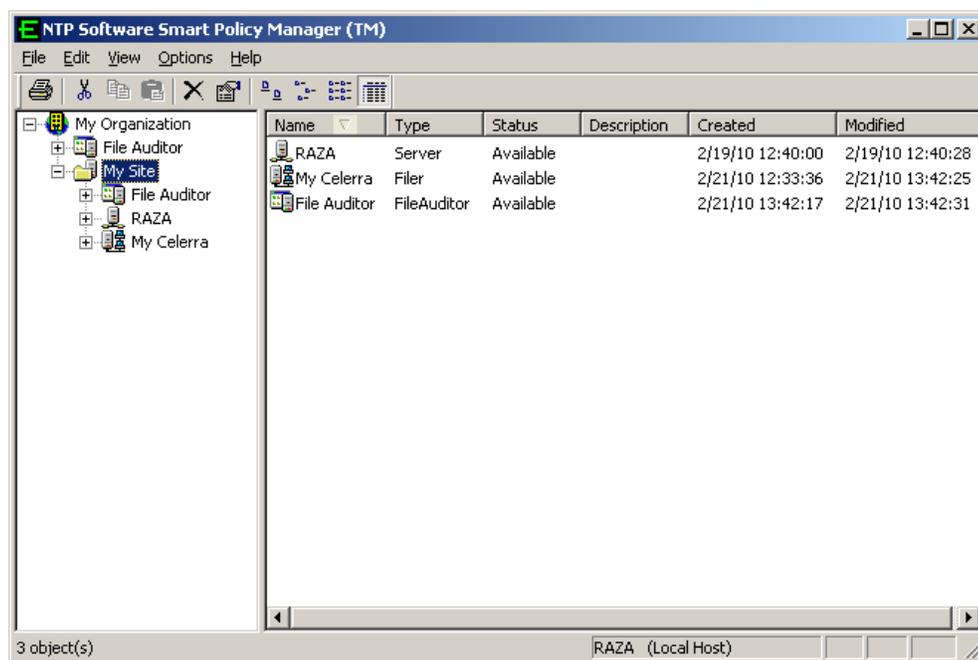
Confirm Password:

Status: Not sent yet

Adding Celerras to the DefendX Software Control-Audit Policy Hierarchy

Before you can use DefendX Software Control-Audit for NAS, the Celerra must be added to the DefendX Software Smart Policy Manager hierarchy. Follow these steps to add the Celerra:

1. Click **Start > All Programs > DefendX Software Control-Audit for NAS > DefendX Software Control-Audit for NAS Admin**.
2. In the hierarchy presented, expand the location name you entered earlier. The default location is **My Site**. Your Celerra is listed in the right pane, below the server on which DefendX Software Control-Audit is installed.



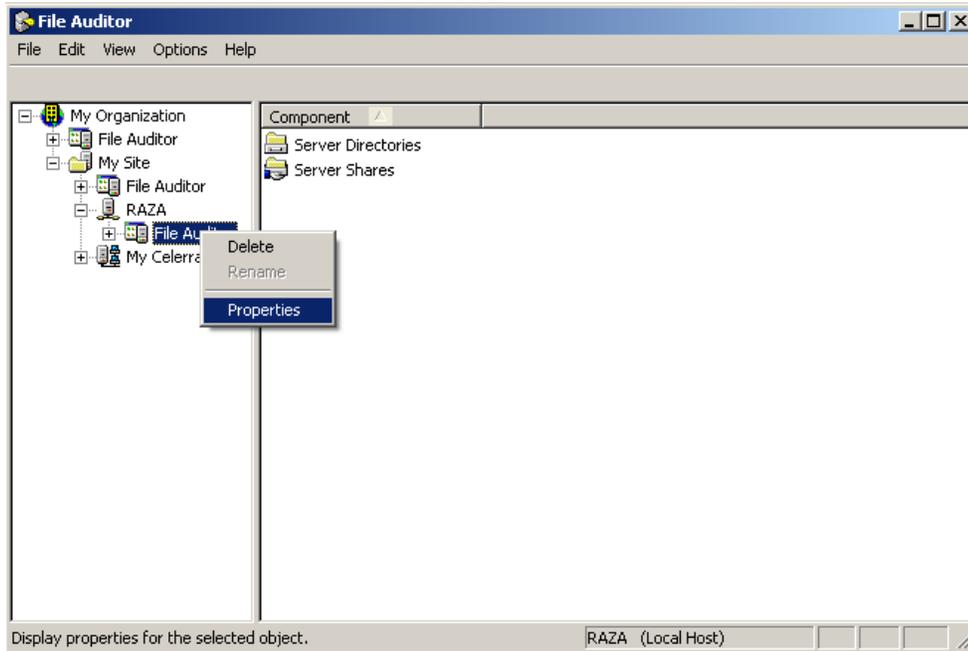
Right-click on a container node, then select **New > Celerra**.

You need to add the Celerra to the EMC Connector tab by providing the Control station IP, UserName, and Password and then restarting the service.

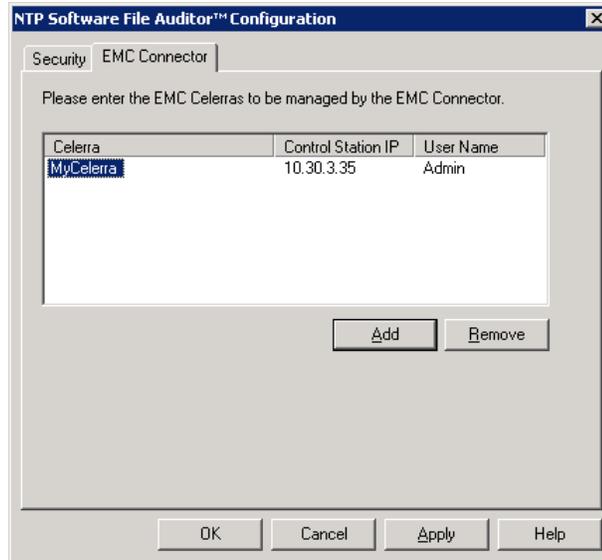
The EMC Celerra server will be listed in the Control-Audit Admin left panel tree view.

This allows a company with multiple Celerras and multiple Control-Audit Servers to control which Control-Audit Server will manage which EMC Celerra.

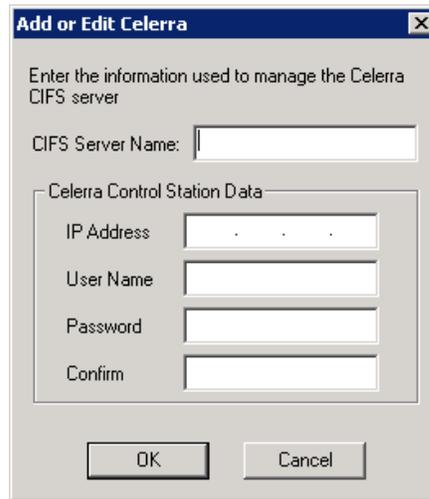
In the left pane, expand the server on which DefendX Software Control-Audit is installed and right-click **Control-Audit**. From the pop-up menu, choose **Properties**.



3. Click the **EMC Connector** tab. Your Celerra should be listed; if it is not, click **Add**.



4. Enter the name of your Celerra, the Control Station IP, the username, and the password. Click **OK**.



To make sure that DefendX Software Control-Audit for NAS, EMC Edition will work properly, you must make sure that the Celerra is generating events properly. Once events are generated, DefendX Software Control-Audit for NAS, EMC Edition is responsible for controlling those events.

The registration and details of each event DefendX Software Control-Audit receives are logged by DefendX Software Control-Audit as needed. Logging is turned off by default to avoid negative impact on DefendX Software Control-Audit performance. Only part of the logging file will be enabled.

To test that events are generated from the Celerra, follow these steps on the DefendX Software Control-Audit machine:

1. Create two new DWORDs in the following registry key:

```
HKEY_LOCAL_MACHINE\SOFTWARE\DefendXSoftware\ECS
```

2. Name the DWORDs **Trace Celerra RPC** and **Trace CAVA Detail**.
3. Set their values to **1**.

The Registration event may already have been sent, so it will not be logged unless the NTP Connector service is restarted. However, if events were detected in the log file, this is an indication that the registration was successful.

The registration message, if logged, should look like this:

```
CEPA Register Response: <RegisterResponse><EndPoint
version="1.0" desc="DefendX Software Control-Audit EMC
Connector" /><Filter><EventTypeFilter value="0x000700FF"
/></Filter></RegisterResponse>
```

Any detected event, if logged, should have an entry that starts with the following:

```
CEPA event received: <CheckEventRequest>
```

The rest of the logged event is the event details: type, path, user, and so on.

NOTE: Remember to disable the logging you just enabled, as it has a negative effect on DefendX Software Control-Audit performance.

About DefendX Software

DefendX Software helps organizations secure their critical business files and maximize the value of their enterprise file storage resources. From comprehensive intelligence, modeling, costing and chargeback to seamless file movement, protection and archiving, DefendX provides industry-leading capabilities to eliminate waste and align the value of files with the storage resources they consume. With DefendX, important file locations and the users who access them can be monitored to provide governance, protect against theft and enforce compliance policies. For more than 20 years, DefendX Software has been helping public and private sector customers around the world save money and eliminate risk every day.

DefendX Software Professional Services

DefendX Software's Professional Services offers consulting, training, and design services to help customers with their storage management challenges. We have helped hundreds of customers to implement cost-effective solutions for managing their storage environments. Our services range from a simple assessment to in-depth financial analyses.

For further assistance in creating the most cost-effective Storage Management Infrastructure, please contact your DefendX Software Representative at 800-390-6937.

Legal & Contact Information

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DefendX Software
119 Drum Hill Road, #383
Chelmsford MA 01824
Phone: 1-800-390-6937
E-mail: info@DefendX.com
Web Site: <http://www.DefendX.com>

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